



Unified Language Model Pre-training for Natural Language Understanding and Generation

Li Dong* Nan Yang* Wenhui Wang* Furu Wei*† Xiaodong Liu Yu Wang
Jianfeng Gao Ming Zhou Hsiao-Wuen Hon

Microsoft Research

{lidong1,nanya,wenwan,fuwei}@microsoft.com
{xiaodl,yuwan,jfgao,mingzhou,hon}@microsoft.com



Abstract

Unified Modeling: shared Transformer network and utilizing specific **self-attention masks** to control what context the prediction conditions on

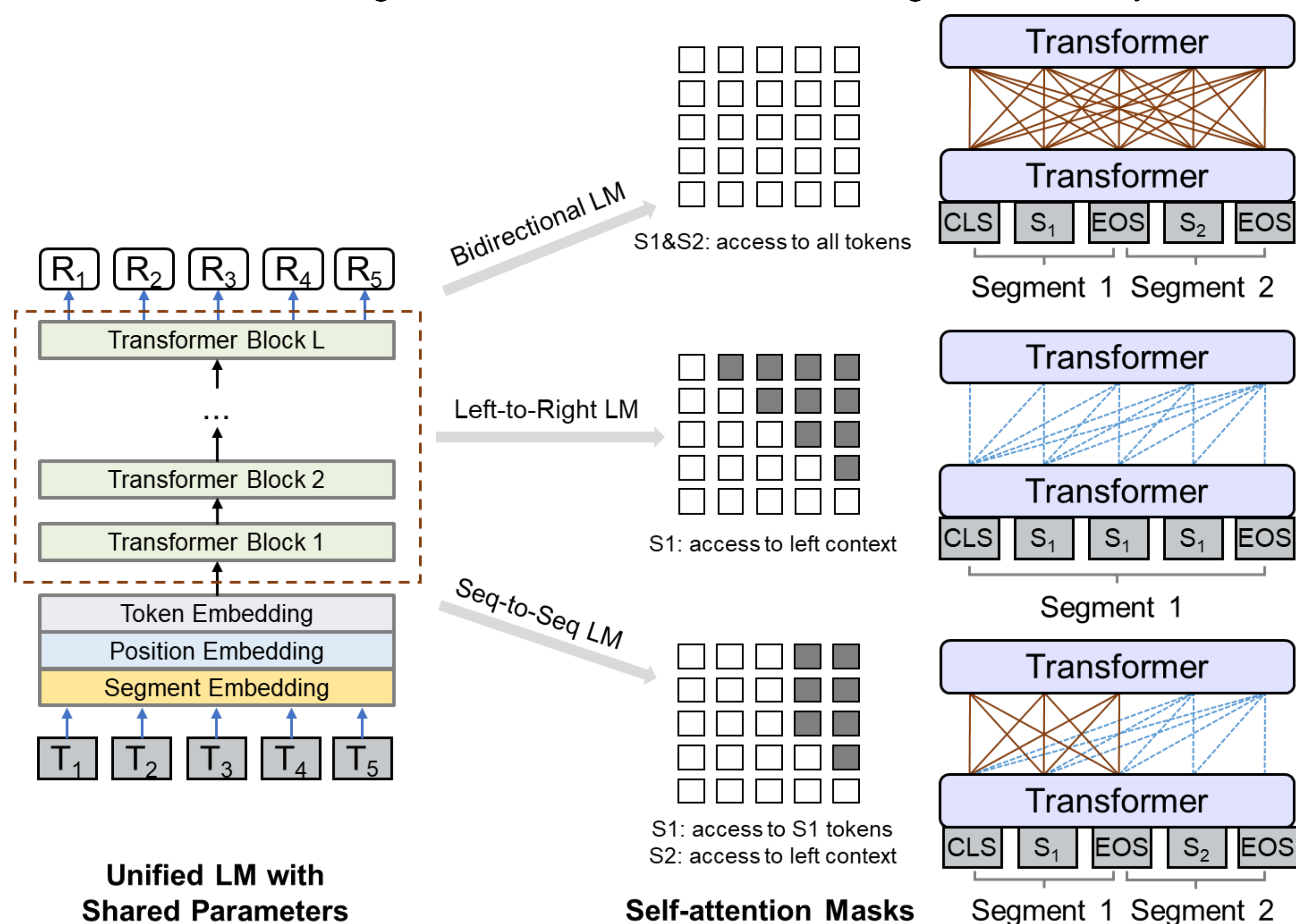
Unified Pre-training: cloze-style tasks for language model (LM) pre-training

- Left-to-right unidirectional LM
- Right-to-left unidirectional LM
- Bidirectional LM
- Sequence-to-sequence LM

Unified Fine-tuning: UNiLM (the same model) can be fine-tuned as a **unidirectional decoder**, a **bidirectional encoder**, or a **sequence-to-sequence model** to support various downstream natural language **understanding** and **generation** tasks

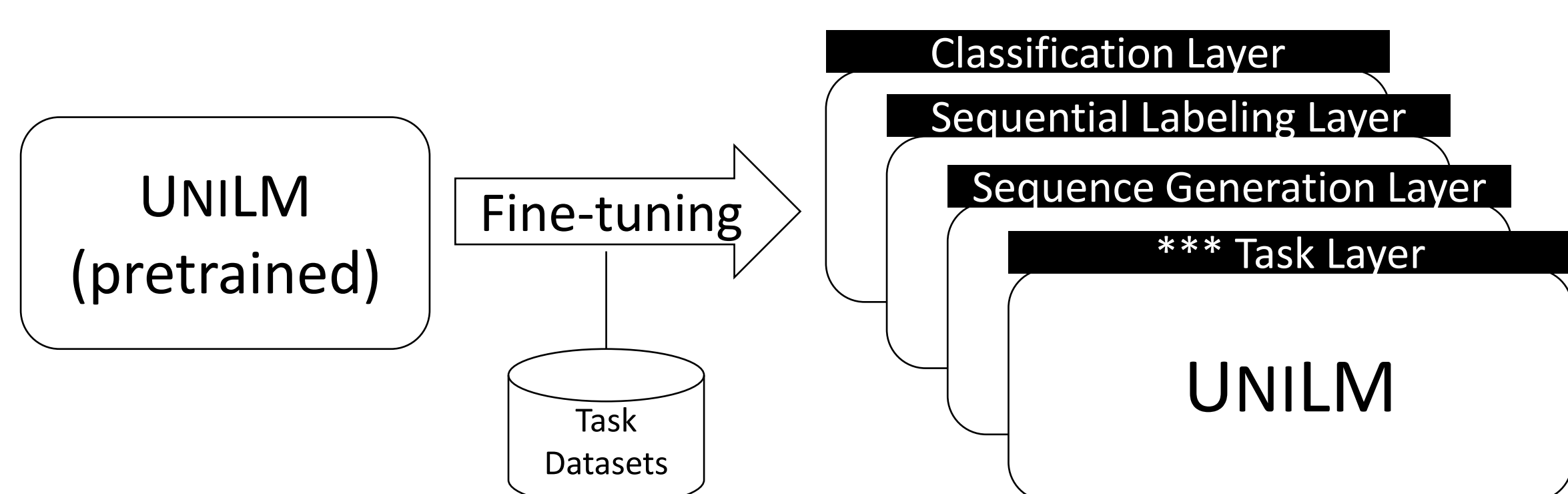
UniLM Pre-training

- The model parameters are shared across the LM objectives (i.e., bidirectional LM, unidirectional LM, and sequence-to-sequence LM). We use different self-attention masks to control the access to context for each word token. The right-to-left LM is similar to the left-to-right one, which is omitted in the figure for brevity.



UniLM Fine-tuning

- Adding simple task-specific layers upon UNiLM
- Fine-tuning several epochs on the downstream task
- Natural language understanding tasks (e.g., classification, and sequential labeling)
 - Fine-tune UniLM as a bidirectional Transformer encoder
- Natural language generation tasks
 - Fine-tune UniLM as a sequence-to-sequence model



Overview

- Comparison between language model (LM) pre-training objectives:

	ELMo	GPT	BERT	UNiLM
Left-to-Right LM	✓	✓		✓
Right-to-Left LM	✓			✓
Bidirectional LM			✓	✓
Sequence-to-Sequence LM				✓

- The unified LM is jointly pre-trained by multiple language modeling objectives, sharing the same parameters. We fine-tune and evaluate the pre-trained unified LM on various datasets, including both language understanding and generation tasks.

Backbone Network	LM Objectives of Unified Pre-training	What Unified LM Learns	Example Downstream Tasks
Transformer with shared parameters for all LM objectives	Bidirectional LM	Bidirectional encoding	GLUE benchmark Extractive question answering
	Unidirectional LM	Unidirectional decoding	Long text generation
	Sequence-to-Sequence LM	Unidirectional decoding conditioned on bidirectional encoding	Abstractive summarization Question generation Generative question answering

Experiments

- Abstractive Summarization

- Document -> summary: sequence-to-sequence fine-tuning

	CNN / Dailymail			Gigaword		
	RG-1	RG-2	RG-L	RG-1	RG-2	RG-L
<i>Extractive Summarization</i>						
LEAD-3	40.42	17.62	36.67			
Best Extractive [27]	43.25	20.24	39.63			
<i>Abstractive Summarization</i>						
PGNet [37]	39.53	17.28	37.98			
Bottom-Up [16]	41.22	18.68	38.34			
S2S-ELMo [13]	41.56	18.94	38.47			
UNiLM	43.33	20.21	40.51			
<i>10K Training Examples</i>						
Transformer [43]	10.97	2.23	10.42			
MASS [39]	25.03	9.48	23.48			
UNiLM	32.96	14.68	30.56			
<i>Full Training Set</i>						
OpenNMT [23]	36.73	17.86	33.68			
Re3Sum [4]	37.04	19.03	34.46			
MASS [39]	37.66	18.53	34.89			
UNiLM	38.45	19.45	35.75			

- Question Answering (QA)

- Extractive QA: classify answer spans

Stanford Question Answering Dataset (SQuAD) Conversational Question Answering (CoQA)

	SQuAD		CoQA	
	EM	F1	F1	
RMR+ELMo [20]	71.4	73.7	67.2	
BERT _{LARGE}	78.9	81.8	82.7	
UNiLM	80.5	83.4	84.9	

- Generative QA: generate answers as a sequence-to-sequence model

Conversational Question Answering (CoQA)

	F1
Seq2Seq [35]	27.5
PGNet [35]	45.4
UNiLM	82.5

- Question Generation

- Generate a question that asks for the given passage and answer

Stanford Question Answering Dataset (SQuAD)

	BLEU-4	MTR	RG-L
CorefNQG [11]	15.16	19.12	-
SemQG [50]	18.37	22.65	46.68
UNiLM	22.12	25.06	51.07
MP-GSN [51]	16.38	20.25	44.48
SemQG [50]	20.76	24.20	48.91
UNiLM	23.75	25.61	52.04

- Question generation based on UniLM improves question answering results

Stanford Question Answering Dataset (SQuAD)

	EM	F1
UNiLM QA Model (Section 3.2)	80.5	83.4
+ UNiLM Generated Questions	84.7	87.6

- Dialog Response Generation

- multi-turn conversation history + document -> response

DSTC7 Shared Task

	NIST-4	BLEU-4	METEOR	Entropy-4	Div-1	Div-2	Avg len
Best System in DSTC7 Shared Task	2.523	1.83	8.07	9.030	0.109	0.325	15.133
UNiLM	2.669	4.39	8.27	9.195	0.120	0.391	14.807
Human Performance	2.650	3.13	8.31	10.445	0.167	0.670	18.76

- GLUE Benchmark

- a collection of nine language understanding tasks, including question answering, linguistic acceptability, sentiment analysis, text similarity, paraphrase detection, and natural language inference

General Language Understanding Evaluation (GLUE)

Model	CoLA MCC	SST-2 Acc	MRPC F1	STS-B S Corr	QQP F1	MNLI-m/mm Acc	QNLI Acc	RTE Acc	WNLI Acc	AX Acc	Score
GPT	45.4	91.3	82.3	80.0	70.3	82.1/81.4	87.4	56.0	53.4	29.8	72.8
BERT _{LARGE}	60.5	94.9	89.3	86.5	72.1	86.7/ 85.9	92.7	70.1	65.1	39.6	80.5
UNiLM	61.1	94.5	90.0	87.7	71.7	87.0/85.9	92.7	70.9	65.1	38.4	80.8